SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Plowman C Benson</u>	Requiring Statement (Month/Day/Year) 06/02/2006 Viddle)		3. Issuer Name and Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [LUNA]					
(Last) (First) (Middle) C/O LUNA INNOVATIONS INCORPORATED 2851 COMMERCE STREET (Street) BLACKSBURG VA 24060			4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below) VP Sales	n(s) to Issuer 10% Owne Other (spec below)	r sify 6. Inc Appli	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 		
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		t (D) (Instr.	4. Nature of Indirect Beneficial Ownership D) (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Employee Stock Options (Right to Buy)	(1)	09/22/2013	Common Stock	36,740	0.3538	D		
Employee Stock Options (Right to Buy)	(2)	04/26/2015	Common Stock	14,131	0.3538	D		
Employee Stock Options (Right to Buy)	(3)	05/20/2016	Common Stock	203,483	0.3538	D		

Explanation of Responses:

1. 1/4 of the option shares became exercisable on September 22, 2004. The remaining option shares are exercisable at a rate of 1/36 per month thereafter.

2. 1/4 of the option shares became exercisable on January 1, 2006. The remaining option shares are exercisable at a rate of 1/36 per month thereafter.

3. 1/4 of the option shares became exercisable on May 20, 2006. The remaining option shares are exercisable at a rate of 1/36 per month thereafter.

/s/ Aaron S. Hullman, Esq., 06/02/2006 Attorney-in-Fact ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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