FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
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Filed pursuant to Section 16(a) of the Securities Exchan
or Section 20(b) of the Investment Company Act

Instruction 1(b)			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addre	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [LUNA]		onship of Reporting Person(s) to Issuer II applicable) Director 10% Owner Officer (give title Other (specify below) below)			
		(Middle) INCORPORATED	3. Date of Earliest Transaction (Month/Day/Year) 04/02/2018		Officer (give title below)			
301 1ST STREET SW, SUITE 200 (Street) ROANOKE VA 24011			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person			
					Form filed by More the Person	5		
(City)	(State)	(Zip)						
		Table I - Non-E	Derivative Securities Acquired, Disposed of, or Benefic	cially O	wned			

Table 1- Non-Derivative Securities Acquired, Disposed of, or Derivitiany Owned													

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Units	(1)	04/02/2018		Α		3,846.15 ⁽²⁾		(3)	(3)	Common Stock	3,846.15	\$3.25	215,525.72	D	
Stock Units	(1)	04/02/2018		Α		230.77 ⁽⁴⁾		(3)	(3)	Common Stock	230.77	\$3.25	215,756.49	D	

Explanation of Responses:

1. Stock units are convertible into issuer's common stock on a 1-for-1 basis.

2. This grant was made pursuant to issuer's non-employee director compensation policy, as compensation for Mr. Roedel's service as chairman of the board of directors for the period from April 1, 2018 to June 30, 2018. The number of restricted stock units is equal to \$12,500.00 divided by \$3.25, the closing price of the issuer's common stock on the Nasdaq Capital Market on April 2, 2018, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

3. The stock units become issuable in common stock of the issuer at the election of the Reporting Person upon the earliest to occur of the Reporting Person's termination of service, a change in control of the issuer, an unforeseeable emergency, or a fixed date selected by the Reporting Person. The units have no expiration date.

4. This grant was made pursuant to issuer's non-employee director compensation policy, as compensation for Mr. Roedel's service on the compensation committee of the board of directors for the period from April 1, 2018 to June 30, 2018. The number of restricted stock units is equal to \$750.00 divided by \$3.25, the closing price of the issuer's common stock on the Nasdaq Capital Market on April 2, 2018, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

Remarks:

/s/ Scott A. Graeff, Attorney-In-04/04/2018 **Fact**

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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