FORM 4

UNITED STAT

Washington, D.C. 20549

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OMB APPROVAL

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3235-0287

OMB Number

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Pulls 1016 1(c). See conditions of Rule 10b5-1(c). See

Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Table L. Non-Derivative Securities Acquired Disposed of or Reneficially Owned								
(City)	(State)	(Zip)						
(Street) ROANOKE	VA	24011		Form filed by One Reporting Person Form filed by More than One Reporting Person				
301 1ST STRI	EET SW, SUITE	E 200	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Last) (First) (Middle) C/O LUNA INNOVATIONS INCORPORATED			3. Date of Earliest Transaction (Month/Day/Year) 01/02/2025	Officer (give title Other (specify below)				
	ress of Reporting F		2. Issuer Name and Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [LUNA]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Transaction Code (Instr. 3, 4 and 5) Code (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) Securitie Beneficia Owned F		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111541. 4)
Common Stock	01/02/2025		M		1,344	A	\$0	17,577	D	
Common Stock	01/02/2025		M		1,518	A	\$0	19,095	D	
Common Stock	01/02/2025		M		11,819	A	\$0	30,914	D	
Common Stock	01/02/2025		M		2,338	A	\$0	33,252	D	
Common Stock	01/02/2025		M		2,850	A	\$0	36,102	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 11. Nature of Indirect Beneficial 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount 8. Price of Derivative 9. Number of derivative Conversion or Exercise Price of of Securities Underlying Derivative Security (Instr. 3 and Date (Month/Day/Year) Execution Date, if any Transaction Expiration Date (Month/Day/Year) Derivative Ownership Securities Beneficially Code (Instr. Securities Form: Security (Instr. 3) Security (Instr. 5) (Month/Day/Year) 8) Direct (D) Acquired (A) Ownership or Disposed of (D) (Instr. Owned Following Derivative (Instr. 4) Security (I) (Instr. 4) Reported Transaction(s) 3, 4 and 5) Amount or (Instr. 4) Date Exercisable (A) (D) Title Code Shares (1) 01/02/2025 M 1,344.56 (2) (2) 66,595.64 1,344.56 Units Stock Stock Commo (1) 01/02/2025 M 1,518.69 (2) (2) 65,076.95 D 1 518 69 \$0 Units Stock Stock Commo (1) 01/02/2025 M 11.819 (2) (2) 11,819 53.257.95 \$0 D Stock Stock Commo (2) (1) 01/02/2025 M 2.338.13 (2) 2,338.13 \$0 50,919.82 D Stock Commo (1) 01/02/2025 2,850.87 (2) 2,850.87 \$<mark>0</mark> 48,068.95 D Units

Explanation of Responses:

- 1. Consists of stock units that are convertible into issuer's common stock on a 1-for-1 basis.
- 2. The stock units are fully vested and were settled in shares of the Issuer's common stock on January 2, 2025 pursuant to the Issuer's Deferred Compensation Plan for Non-Employee Directors. Fractional shares of the reporting person's stock units were settled in cash.

Remarks:

/s/ Ryan Stewart, Attorney-In-

01/03/2025

Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.